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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

09/851,553

Filing Date

May 8, 2001

First Named Inventor

Jerry Shaw-Yau Chang

Art Unit

3693

Examiner Name

Kirsten Sachwitz Apple

Attorney Docket Number

PA1640US

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐ Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

1) Confirmation Postcard

Remarks

Total page number does not include postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr & Ferrell LLP

Cust. No. 22830

Signature

Printed name

Robert D. Hayden

Date

November 20, 2006

Reg. No.

42,645

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Date

November 20, 2006

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANTS: Jerry Shaw-Yau Chang et al.
SERIAL NO.: 09/851,553
CONF. NO.: 4034
FILING DATE: May 8, 2001
TITLE: System and Method for Electronic Transaction Settlement
EXAMINER: Kirsten Sachwitz Apple
ART UNIT: 3693
ATTY. DKT. NO: PA1640US

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: November 20, 2006


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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Response to Restriction Requirement

Sir:

In response to the Restriction Requirement mailed on November 6, 2006, please amend the above-identified application as follows and consider the following remarks.